IAP10 Rec'd PCT/PTO 15 DEC 2005

FORM PTO-1390 (REV. 01-2003)

US DEPARTMENT OF COMMERCE PATENT & TRADEMARK OFFICE

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) **CONCERNING A FILING UNDER 35 U.S.C. 371**

INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED

ATTORNEY'S DOCKET NUMBER 126328

U.S. APPLICATION NO. (If known, see 37 CFR 1.5) New U.S. National Stage of PCT/JP2004/012643

| | | TIONAL APPLICATION NO. 004/012643 | INTERNATIONAL FILING DATE September 1, 2004 | PRIORITY DATE CLAIMED September 5, 2003 | | | | | | |
|---|-------------|---|---|--|--|--|--|--|--|--|
| TITLE OF INVENTION OPTICAL CONTROL SYSTEM AND OPTICAL CONTROL METHOD | | | | | | | | | | |
| | | ITS FOR DO/EO/US RO; Hisanao HAZAMA; Yoshiaki T | ΓΑΚΑΤΑΝΙ | | | | | | | |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | | | | | | | | | |
| 1. | \boxtimes | This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. | | | | | | | | |
| 2. | | This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. | | | | | | | | |
| 3. | \boxtimes | This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. | | | | | | | | |
| 4. | \boxtimes | The US has been elected (Article 31). | | | | | | | | |
| 5. | \boxtimes | A copy of the International Application as filed (35 U.S.C. 371(c)(2)) | | | | | | | | |
| | | a. 🗵 is attached hereto (required only if not communicated by the International Bureau). | | | | | | | | |
| | | b. | | | | | | | | |
| | | c. | | | | | | | | |
| 6. | \boxtimes | An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)) | | | | | | | | |
| | | a. 🛛 is attached hereto. | | | | | | | | |
| | | b. has been previously submitted under 35 U.S.C. 154(d)(4). | | | | | | | | |
| | | c. The International Application was filed in English. | | | | | | | | |
| 7. | | Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) | | | | | | | | |
| | | a. are attached hereto (required only if not communicated by the International Bureau). | | | | | | | | |
| | | b. have been communicated by the International Bureau. | | | | | | | | |
| | | c. have not been made; however, the time limit for making such amendments has NOT expired. | | | | | | | | |
| • | | d. have not been made and will not be made. | | | | | | | | |
| 8. | | An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). | | | | | | | | |
|) 9 ; | \boxtimes | An oath or declaration of the inventors (35 U.S.C. 371(c)(4)). | | | | | | | | |
| 10. | | An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). | | | | | | | | |
| Items | s 11 1 | to 20 below concern document(s) | or information included: | | | | | | | |
| 11. | \boxtimes | An Information Disclosure Stateme | ent under 37 CFR 1.97 and 1.98. | | | | | | | |
| 12. | \boxtimes | An assignment document for recor | ent document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. | | | | | | | |
| 13. | \boxtimes | A preliminary amendment. | | | | | | | | |
| 14. | \boxtimes | An Application Data Sheet under 37 CFR 1.76. | | | | | | | | |
| 15. | | A substitute specification. | | | | | | | | |
| 16. | \boxtimes | A power of attorney and/or change of address letter. | | | | | | | | |
| 17. | | A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825. | | | | | | | | |
| 18. | | A second copy of the published international application under 35 U.S.C. 154(d)(4). | | | | | | | | |
| 19. | | A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). | | | | | | | | |
| 20. | \boxtimes | Other items or information: a copy of the International Search Report is attached to the Information Disclosure Statement. | | | | | | | | |
| | | | | | | | | | | |

| U.S. APPLICATION NO. (if known, New U.S. National) Stage of PCT/JP2004/612648 | see 37 C.F.R. 1.5) | ATTORNEY'S DOCKET NUMBER 126328 | | | | | | | |
|--|---|-----------------------------------|--------------------------|-----------------------|--------------------|--|--|--|--|
| 21. The following fees | | | | CALCULATIONS | PTO USE ONLY | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| BASIC NATIONAL FEE (3 | 7 CFR 1.492(a)): | \$300.00 | | | | | | | |
| SEARCH FEE (37 CFR 1.4 | | \$400.00 | | | | | | | |
| International preliminary ex the USPTO as IPEA or ISA industrial applicability for al national stage | amination report or v A and favorable as to I claims presented in | | | | | | | | |
| International search fee (37 | | | | | | | | | |
| International search report the search fee is paid | *************************************** | | | | | | | | |
| All situations not provided f | or above | | | | | | | | |
| EXAMINATION FEE (37 C | FR 1.492(c)(1)-(2)): | | | \$200.00 | | | | | |
| International preliminary examination report or written opinion prepared by the USPTO as IPEA or ISA and favorable as to novelty, inventive step, and industrial applicability for all claims presented in the application entering the national stage | | | | | | | | | |
| All situations not provided f | for above | | \$ 200.00 | | | | | | |
| All situations not provided for Surcharge of \$130.00 for fu | rnishing the oath or | declaration later than 3 | 10 months from the | \$ | | | | | |
| earliest claimed priority dat | | | o monuns nom the | 4 | | | | | |
| TOTAL PAGES OF APPLICATION OVER 100 (91 - 100) | 0 ÷ 50 | = †0 | x 250 = | \$ | | | | | |
| tround up to next intege | er | | | | | | | | |
| CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE | \$ | | | | | |
| TOTAL CLAIMS | 13 - 20 | = 0 | x 50.00 = | \$ | | | | | |
| INDEPENDENT CLAIMS | 2- 3 | = 0 | x 200.00 = + 360.00 = | \$ | | | | | |
| MULTIPLE DEPENDENT (| CLAIM(S)(if applicable | \$ | | | | | | | |
| | | \$900.00 | | | | | | | |
| Applicant claims small reduced by ½. | entity status. See 3 | \$900.00 | · | | | | | | |
| Processing fee of \$130.00 | for furnishing the En | alish translation later th | SUBTOTAL = | \$ | | | | | |
| the earliest claimed priority | \$900.00 | | | | | | | | |
| Fee for recording the enclo | \$ | | | | | | | | |
| accompanied by an approp | | | | | | | | | |
| • | | TOTAL FE | ES ENCLOSED = | \$900.00 | | | | | |
| 4 | | | | Amount to be | | | | | |
| | | | | refunded: | \$ | | | | |
| | | | | charged: | \$ | | | | |
| a. | | | | | | | | | |
| c. | | | | | | | | | |
| d. | | | | | | | | | |
| NOTE: Where an appro | priate time limit un | der 37 CFR 1.495 has | not been met. a neti | tion to revive (37 CF | R 1.137(a) or (b)) | | | | |
| NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status. SEND ALL CORRESPONDENCE TO: | | | | | | | | | |
| OLIFF & BERRIDGE, PLC | | | | | | | | | |
| Customer Number: 25944 NAME James & Qliff REGISTRATION NUMBER: 27,075 | | | | | | | | | |
| Date <u>December 15, 20</u> | 005 | S. Armstrong ON NUMBER: 36,430 | | | | | | | |
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